



CIN: L67120PB1993PLC013169

## Regency Fincorp Limited

(Formerly Known as: -Regency Investments Limited)

Corp. & Regd. Office :Unit No.57-58, 4th Floor, Sushma Infinium,  
Chandigarh-Ambala Highway, Zirakpur -140603

Contact No.: +91 77175 93645 Web.: www.regencyfincorp.co.in

E-mail : regencyinvestmentsltd@gmail.com

12<sup>th</sup> August, 2025

To  
The Listing Department  
BSE Limited,  
25th Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street Mumbai, Maharashtra - 400001

**SUB: SUBMISSION OF CFO CERTIFICATE PURSUANT TO SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**Ref: Regency Fincorp Limited (Scrip Code: 540175)**

Dear Sir/Madam,

Pursuant to the provisions of Regulation 17(8) and 34(5) read with Schedule V of SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015, please find enclosed the CFO Certificates forming the part of 32<sup>nd</sup> Annual Report for the financial year 2024-25.

You are requested to take the above information on record.

Thanking You,

**FOR REGENCY FINCORP LIMITED**

**Gaurav Kumar**  
**Managing Director**  
**DIN: 06717452**

Enclosed as above.



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## Managing Director/Chief Financial Officer Certification

To,  
The Board of Directors,  
**Regency Fincorp Limited**  
Unit No. 57-58, 4<sup>th</sup> Floor, Sushma Infinium,  
Chandigarh Ambala Highway Zirakpur, Mohali, Punjab- 140603

### Sub: Certificate by Managing Director pursuant to the Regulation 33(1)(e) and Schedule IV of SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015

I, Gaurav Kumar, the Managing Director (MD) of the Company and I Sarfaraz Mallick, CFO do hereby certify to the Board that:

- A. We have reviewed financial statements and the cash flow statement for the year ending 31st March, 2025 and that to the best of their knowledge and belief:
  1. these statements do not contain any materially untrue statement or omit any material fact or contain statements that might be misleading;
  2. these statements together present a true and fair view of the company's affairs and are in compliance with existing accounting standards, applicable laws and regulations.
- B. There are, to the best of our knowledge and belief, no transactions entered into by the company during the year which are fraudulent, illegal or violative of the company's code of conduct.
- C. We accept responsibility for establishing and maintaining internal controls for financial reporting and that we have evaluated the effectiveness of internal control systems of the company pertaining to financial reporting and we have disclosed to the auditors and the Audit Committee, deficiencies in the design or operation of such internal controls, if any, of which we are aware and the steps we have taken or propose to take to rectify these deficiencies.
- D. We have indicated to the auditors and the Audit committee-
  1. significant changes in internal control over financial reporting during the year;
  2. significant changes in accounting policies during the year and that the same have been disclosed in the notes to the financial statements; and
  3. Instances of significant fraud of which we have become aware and the involvement

therein, if any, of the management or an employee having a significant role in the company's internal control system over financial reporting.

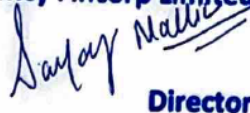
**For and on behalf of the Board of Directors**

**Regency Fincorp Limited**  
**Regency Fincorp Limited**

  
**Director**

**Gaurav Kumar**  
**Managing Director**  
**DIN: 06717452**

**Regency Fincorp Limited**

  
**Director**

**Sarfaraz Mallick**  
**Chief Financial Officer**  
**PAN: ASGPM2874E**

**Place: Zirakpur, Punjab**  
**Date: - 11<sup>th</sup> August, 2025**



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## Annexure- V

### DECLARATION ON COMPLIANCE OF THE COMPANY'S CODE OF CONDUCT

To,  
Regency Fincorp Limited  
Unit No. 57-58, 4<sup>th</sup> Floor, Sushma Infinium, Chandigarh Ambala Highway  
Zirakpur, Mohali, Punjab- 140603

This is to certify that the Company had laid down code of conduct for all the board members and senior management personnel of the Company.

All the members of the Board and Senior Management Personnel of the Company have affirmed due observance of the said Code of Conduct in so far as it is applicable to them and there is no non-compliance thereof during the year ended on 31<sup>st</sup> March, 2025.

Place: Zirakpur, Punjab  
Date: - 11<sup>th</sup> August, 2025

For Regency Fincorp Limited

**Regency Fincorp Limited**

Gaurav Kumar **Director**

Managing Director  
(DIN:06717452)